

### 2019 Business and Human Rights Conference in Tokyo

Beyond Business and Human Rights bound to ESG Capital Market  $\sim$ What are the responsibilities of companies that act as a bridge between farmers/workers and financial markets? $\sim$ 

About 8 years have passed since the UNGPs were approved by the UN Human Rights Council in 2011. How far have actors such as governments, companies and stakeholders worked together? Looking across the world, there are still many human rights issues that must be addressed, and it has not yet been able to say that it has achieved remarkable results.

Under these circumstances, this year's international conference will proceed mainly according to the following themes.

- How should we respond to the movement of government regulations, such as "Modern Slavery Act", UK, Australia, etc.?
- What should we keep in mind to efficiently develop and implement human rights policies and due diligence?
- Where should we start to build our own supply chain management system?
- What are the examples of Grievance Mechanism and what is its essence?
- What do ESG-related investors expect from companies?
- Is it true that the standards for evaluating companies have changed?

- What are KGI and KPI for integrating corporate strategy with SDGs and ESG?
- Introduction of Japanese companies' besigned practices for solving human rights issues
  - ✓ Response to ESG investors, together with formulation of KGI and KPI
  - ✓ Establishment of Human Right management system
  - ✓ Responsible supply chain

#### **Enter the rule-making process era!**

The WBA (World Benchmarking Alliance) was launched last year, and it is expected that the rules / methods of corporate human right evaluation will change more than ever before in a few years. Whether companies will catch the wave and work together to take countermeasures, will also have a major impact on companies' sustainable growth.

Now is the time to move!

Hiroshi Ishida, Executive Director, CRT Japan

**Host: Caux Round Table Japan** 

Sponsor : ANA Holdings Inc., Teijin Ltd., Nippon telegraph and telephone Corp., Unicharm Corp., Sekisui Chemical Co., Shiseido Co. Ltd., Suntory Holdings Ltd.

Cooperation: Fujifilm Corp., Chugai Pharmaceutical Co., Ltd.

Venue : AP Shinbashi, 3F Shinbashiekimae, A-PLACE, 1-12-9, Shinbashi, Minato-ku, Tokyo, 105-0004



Overview of the conference		
Date	Friday 11 <sup>th</sup> of October 9:00-17:00 (Reception: 8:30)	
Venue	A Room, AP shinbashi, 3F A-PLACE Shinbashiekimae, 1-12-9, Shinbashi, Minato-ku, Tokyo-to, 105-0004	
Host	Caux Round Table Japan	
Sponsor	ANA Holdings Inc., Teijin Ltd., Nippon Telegraph and Telephone Corp., Unicharm Corp., Sekisui Chemical Co., Shiseido Co. Ltd., Suntory Holdings Ltd.	
Cooperation	Fujifilm Corp., Chugai Pharmaceutical Co., Ltd.	
Foreign Organization	Institute for Human Rights and Business, The Danish Institute for Human Rights, UNDP Bangkok Regional Hub, Bluenumber Foundation, Verisk Maplecroft, Sedex, Corporate Human Rights Benchmarks, World Benchmarking Alliance, Eiris Foundation	
Participants	Departments from CSR, Human Resource/General administration, Procurement, Management and Risk Management, New business planner	
Capacity	200 persons (Interpretation in English and Japanese)	
Costs	【1 Person】 Company: 15,000 yen (ex.tax) NGO/NPO/Students: 5,000 yen (ex.tax)	
Registration	Please send an email to the following contact address	
Contact	Caux Round Table Japan Email: info@crt-japan.jp TEL: +81-3-5728-6365	

**Sponsor** 















Cooperation







### **Programme**

8:30 - 9:00	Reception
9:00 - 9:15	Opening Remarks
	Executive Director, Caux Round Table Japan, Mr. Hiroshi Ishida
9:15 – 11:30	Global trend on "Business and Human rights"  This session will introduce the latest global trends from overseas experts. Also, following the global trends, the experts will share how Japanese companies should facilitate business and human rights activities.
	<ul> <li>[Problem Presentation] (Themes and speakers are subject to change)</li> <li>First group "Implementation of UNGP"         "Human rights impact assessment"         (1) Mr. Dirk Hoffman             Senior Advisor on Human Rights and Business,             Danish Inst. for Human Rights         (2) Mr. Gus McFarlane</li></ul>
	"Business and Human Rights in Asia" (3) Mr. Livio Sarandrea Regional Program Manager and Chief Advisor on Business and Human Rights, United Nations Development Program (UNDP) Bangkok Regional Hub
	"Migrant Workers: Key Challenges in the Supply Chain" (4) Mr. Neill Wilkins Responsible for the migrant workers program, Human Rights Business Research Institute
	"Supply Chain Human Rights Impact Assessment ~ Cases in India ~" (5) Mr. Rishi Sher Singh Supply Chain Sustainability Expert
	Second group "New waves of Human Rights Management System" "Innovation in Responsible Supply Chain Management"  (1) Mr. Dan Murray Commercial Director, Sedex (2) Dr. Puvan Selvanathan CEO, Bluenumber
	"Corporate Human Rights / SDGs, ESG Benchmark"  (3) Ms. Camille Le Pors Senior Researcher, Corporate Human Rights Benchmarks (WBA / CHRB)  (4) Ms. Paulina Murphy Engagement Director, World Benchmarking Alliance (WBA)  "2019 CHRB Core UNGP Indicator Assessment of 11 Japanese companies"  (5) Mr. Hideki Tanaka Caux Round Table Japan,  "Latest trends in ESG investment"  (6) Mr. Peter Webster CEO, Eiris Foundation
11:30 - 12:30	Lunch



12:30 – 15:00	Introduction to Business and Human rights initiatives by Japanese companies and Panel Discussion This session will introduce responsible supply chain initiatives and human rights due
	diligence practices by Japanese companies, which are required to fulfill their responsibilities to respect human rights outlined by the UN Guiding Principles on Business and Human Rights.
	[Presentation theme] (Themes and speakers are subject to change)  "Response to SDGs and ESG investors" (Coordinator: Ishida)  (1) "ESG initiatives that ESG investors expect from Japanese companies"
	Mr. Yoji Ota Portfolio Specialist, Insight Investment Strategy, Melon Asset Mgt. Japan (2)"KGI/KPI in line with Corporate strategies and ESG perspectives"
	Mr. Nobuo Taguchi Senior Director, CSR Promotion, ANA Holdings Inc. (3)"Integration of Corporate strategies with SDGs and ESG" Mr. Yasuhiro Hayakawa Chief Social Responsibility Officer, Teijin Limited
	"Transparent and Responsible Supply Chain" (Coordinator: Tanaka) (1)"Responsible Procurement of raw material"
	Mr. Takashi Matsuse Procurement global, planning, Kao Corp.  (2) "The Supply chain traceability system through human rights due diligence" Mr. Hideki Kondo Vice General Manager, CSR Division, Unicharm Corp.  (3) "SDG Scorecards for Toyo Rice Corporation promoting SDGs with the rice
	farmers" Mr. Keiji Saika President, Toyo Rice Corp.
	"Establishment of Human Rights Management System" (Coordinator: Okada) (1)"Education, Training and Mindset Reform for HR Management promotion" Mr. Youzou Nakao Talent Development Group, Human Resource Dept. Ajinomoto Co., Inc.,
	(2)"Group HR Management System based on 'Think Globally, Act Locally (e.g. India)"  Mr. Daisuke Makino
	Manager, HR Protection, Nippon Telegraph & Telephone Corp.
15:00 - 15:15	Break
15:00 - 16:45	Workshop with global experts and participants In this session, we will exchange views with global experts in the form of a workshop on important points in implementing initiatives related to promoting human rights by private companies. As for the agenda, we will conduct a questionnaire survey to the participants prior to the conference, and reflect the results to the agenda.
	[Workshop Agenda at the 2018 International Conference]  ■ What are relationships between Business and Human Rights and SDGs?  ■ How will ESG investors see business and human rights issues in the future?  ■ How do you implement human rights impact assessment?  ■ What is stakeholder engagement required by the UN Guiding Principles?  ■ How do you implement grievance mechanism and address the identified human rights issues?  ■ How do you provide human rights education/training to people applicable to human rights policies?
16:45 - 17:00	Closing Remarks
	Executive Director, Caux Round Table Japan Mr. Hiroshi Ishida
17:00	Close,
	Picture (Sponsoring and Cooperation companies and speakers)



### **Profile of Foreign Speakers**



#### Camille Le Pors Senior Researcher CHRB, WBA

Camille is a Senior Researcher at WBA focusing on the Corporate Human Rights Benchmark (CHRB). She joined the CHRB in October 2016, and is responsible for managing the research process, engagement with benchmarked companies and methodology development and reviews. Prior to this she spent time working with the Business and Human Rights Resource Centre in London, the International Criminal Court in The Hague, and for an Overseas Member of French Parliament in London. Camille holds a BA in International Politics from King's College London and a Masters in International Affairs with a focus on business and human rights from the IHEID, Geneva and Fudan University, Shanghai.

### Pauliina Murphy Engagement Director WBA

Pauliina leads the WBA's advocacy and outreach strategy for the WBA and its benchmarks, building and strengthening relationships with diverse stakeholders, including investors, civil society and governments, working to ensure that the Alliance is inclusive and relevant globally. Pauliina joined the WBA from Aviva, a global insurance company and asset manager, where she was Head of International Government Engagement. At Aviva, Pauliina drove forward international policy development and advocacy campaigns on sustainable finance and trade and investment, working closely with multi-lateral institutions such as the UN, G7 and G20. Pauliina ran the company's global stakeholder and political engagement strategy, to change the rules at the highest level so that capital is deployed to drive sustainable growth.





## Neill Wilkins Head of Migrant Worker Programme Institute for Human Rights and Business (IHRB)

Neill Wilkins is responsible for all aspects of the IHRB Migrant Workers programme and in 2011 helped oversee the development of the <u>Dhaka Principles for Migration With Dignity</u> - a set of human rights based principles that offer a clear framework for understanding the recruitment and employment of migrant workers worldwide. More recently Neill has led much of IHRB's work around responsible recruitment and the promotion of recruitment models based on the <u>Employer Pays Principle</u> which prohibit the charging of recruitment fees and costs to workers.

Neill also manages IHRB engagement with the modern slavery agenda and speaks regularly at events focussed on forced labour, trafficking and transparency legislation. He has worked extensively with the construction, apparel and hospitality sectors along with participation at intergovernmental events such as the Colombo Process and Global Forum for Migration and Development.



## Dr. Puvan Selvanathan CEO Bluenumber Foundation

Dr. Puvan Selvanathan is CEO of the Bluenumber Foundation, an international non-profit organization providing a global platform for self-identification and recognition. Puvan was formerly a United Nations Special Mandate Holder on Business and Human Rights appointed by the UN Human Rights Council (Geneva), Head of Food & Agriculture at the UN Global Compact Office (New York), and then Head (New York Office) of the International Trade Centre, a joint agency of the UN and WTO. Before joining the UN, Puvan was Group Chief Sustainability Officer at Sime Darby, a Malaysian diversified conglomerate. An Architect by profession, Puvan holds an MBA and a DBA in Corporate Strategy and Sustainability.





#### **Dirk Hoffmann**

Senior Adviser, Human Rights and Business The Danish Institute for Human Rights

Dirk Hoffmann works as Senior Adviser in the Human Rights and Business Department of the Danish Institute for Human Rights (DIHR). During his 8+ years at DIHR, he has provided expert advice on human rights due diligence to leading multinational companies in various sectors, including food and beverage, oil & gas, mining, logistics/shipping, energy, cosmetics and garment sector in countries in Europe, Africa and Asia.

Dirk spent his first years at DIHR on human rights risk analysis and led the development of DIHR's methodology to analyze country-specific risks of negative human rights impacts by businesses. He has led and carried out country-level human rights impact assessments (HRIA) globally in various sectors, including the Food & Beverage and the energy sector. He is currently managing DIHR's partnerships with Nestlé S.A. and L'Oréal.

Dirk holds an LL.M. in International Human Rights Law from Lund University, Sweden, with a focus on international labour law.

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# Gus MacFarlane Vice President Verisk Maplecroft



Gus has more than 12 years' experience in providing advisory services to the extractives sector on above-ground risks and opportunities (at group-, region- and operation-level). Key focus areas include human rights, stakeholder relations, social license to operate, FPIC (free, prior and informed consent), political risk and business ethics.

Key activities include operational/strategic risk assessment, human rights impact assessment, stakeholder engagement, strategy/management system development and award-winning integrated/sustainability reporting. This includes extensive work in Australasia, Central Africa, South America, South-East Asia, Southern Africa and West Africa.

Experience includes embedded, multi-year advisory relationships with large international mining companies with interests in higher-risk, higher-reward locations (including risk management, stakeholder engagement, sustainability management systems and integrated reporting), to one-off, in-field project engagements (including risk/impact assessments and action plan development).



#### Livio Sarandrea

Manager & Chief Adviser of Regional Program on Business and Human Rights
United Nations Development Programme (UNDP)
Bangkok Regional Hub

Livio Sarandrea, is the Manager and Chief Adviser of UNDP's Regional Program on Business and Human Rights based in Bangkok and covering Asia. Livio started his international career in post-war Bosnia and Herzegovina where he served since 1997 as Human Rights Officer and Senior Human Rights Officer for the Organization for Security and Cooperation in Europe (OSCE). In 2001 he joined the UNDPKO Mission to Bosnia and Herzegovina as Chief of Regional Human Rights Office where he coordinated the Human Rights and war crimes investigative work of 40 Human Rights Officers.

From 2003 to 2010 he worked for the OSCE Mission to Serbia initially as Human Rights Institutions and Prison Reform Adviser and from 2005 as Senior Coordinator for Judicial and Legal Reform and Deputy Head of Rule of Law and Human Rights Department.

Livio Joined UNDP Mozambique in 2011 where he worked as an embedded Chief Technical Adviser in the Ministry of Justice and the National Human Rights Commission. During this period, he supported the Government of Mozambique in drafting its Action Plan on Business and Human Rights.

Livio is from Italy and holds a Law Degree with specialization in International and Criminal Law from La Sapienza University, Rome, and a graduate diploma in Human Rights Law from LUISS University, Rome.



## Dan Murray Commercial Director Sedex

Dan joined Sedex in 2014 and is responsible for the New Membership, Account Management and Membership Services for Europe, North America, Japan, South Africa and Australia. He spent the previous 15 years in International Business Development and Account Management roles, most recently as Business Development Director at MWUK. A graduate of Leicester University, he has a BA Hons in History & Geography.

Dan has spent the past two years working, through the Partnership with CRT Japan, to build the Sedex Membership offer in Japan ensuring it is relevant and adds value and will be attending his forth CRT Japan conference.





#### Rishi Sher Singh A specialist in Business & Human Rights (B&HR), Supply Chain Sustainability and Manufacturing

MBA- University of Birmingham (UK)

Rishi Sher Singh is a specialist in Business & Human Rights (B&HR), Supply Chain Sustainability and Manufacturing. Rishi's work goes beyond traditional audits and towards creating meaningful dialogue on opportunities and challenges of businesses, especially in supply chains. His passion is to design and implement innovative solutions for businesses which create positive impacts on people, planet and performance. To bridge the gap between theory and practice in B&HR, Rishi also engages with academic institutions (India, UK & Canada) for action research projects.

Rishi's key areas of implementation are UN Guiding Principles (Pillar 2 & 3) and Sustainable Development Goals (SDGs- 1,5,10, 12 & 17). Some of Rishi's impactful work includes Human Rights Impact Assessments (HRIA) for multinational companies, mapping of Mica supply chain in India, enabling Gender Equality within factories and promoting circular economy. At Hewlett Packard Company (2005-10), Rishi piloted a unique capacity building model for suppliers & contract manufacturers, which was instrumental in shaping HP's current supplier capability programme.

Rishi has directly trained more than 1,150 managers and workers on Responsible Business practices and facilitated various multistakeholder forums on Business & Human Rights- in the Electronics sector (in Chennai) and Garments sector (India & Bangladesh). Rishi is a key note speaker at local and global events and forums. Rishi holds a degree in Mechanical Engineering, MBA in International Business from University of Birmingham (UK), trained in transformative methods by Landmark Education and certified project manager from Deloitte.

LinkedIn: https://www.linkedin.com/in/rishishersingh/





## Peter Webster Head of International Affairs Vigeo Eiris

Peter Webster has led EIRIS (now after merger part of Vigeo Eiris) since it was founded in 1983. He has focused on the challenges of providing ESG ratings and research to a wide range of owners and managers, helping investors to make good use of that research and advancing the concept of responsible investment. Peter is a regular speaker on topics ranging from fiduciary duty to individual ESG areas and their global evolution as well as how responsible investment can contribute to a more sustainable and robust financial system. He was treasurer of the UK Sustainable Investment and Finance Association for 20 years until the end of 2011 and in October 2013 was elected by PRI asset manager and service provider signatories to be a member of the PRI Advisory Council. Peter is a Director of the Corporate Human Rights Benchmark where he co-chairs the Methodology Committee and he is CEO of the EIRIS Foundation which helped to create CHRB and acts as the research provider for the annual ranking.